1	Cloud Resolving Model Applied to Nowcasting: An evaluation of Data
2	Assimilation and Microphysics Parameterization
3	
4	
5	
6	Eder P. Vendrasco ^{1*} , Luiz A. T. Machado ¹ , Bruno Z. Ribeiro ¹ and Edmilson D.
7	Freitas ²
8	
9	
10	
11	¹ Center for Weather Forecast and Climate Studies, National Institute for Space
12	Research (CPTEC/INPE), Cachoeira Paulista, SP, Brazil.
13	² Institute of Astronomy, Geophysics and Atmospheric Sciences, University of
14	Sao Paulo (IAG/USP), Sao Paulo, SP, Brazil.
15	
16	
17	
18	
19	
20	
21	
22	
23	*Correspondence to: Eder P. Vendrasco, Center for Weather Forecast and
24	Climate Studies, National Institute for Space Research (CPTEC/INPE), Rodovia
25	Presidente Dutra, km 39, Cachoeira Paulista - SP, Brasil, 12630-000. E-mail:
26	eder.vendrasco@inpe.br
27	
20	

29	ABSTRACT:
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	Keywords: radar data assimilation; WRF; SOS-CHUVA; southeastern Brazil; severe
42	thunderstorm.
43	
44	
45	

1. Introduction

47

48 Accurate short-term high-resolution precipitation forecast has been a challenge 49 since the last few decades. The computing power has increased allowing the increasing 50 in model grid resolution, however, the accuracy in predicting the time and position of a 51 particular convective cell is still reduced, especially in the first forecast hours. One of the 52 reasons that causes this low skill at the very beginning of the forecast is the well-known 53 spin-up problem (Illari, 1987). It becomes more relevant when doing short-term weather 54 forecast (1-6h). For precipitation prediction up to 3 hours, Lagrangian advection of radar 55 echoes usually performs better compared to numerical weather prediction (Lin et al., 56 2005; Sun et al., 2014). Of course, it depends on the rain system type, i.e., less organized 57 convection has a forecast range much shorter than those well-organized (Zipser, 1990). 58 In the range between around 3 to 6 hours there is a gap in performance between 59 extrapolation methods and dynamical numerical models. In order to fill this gap, many 60 studies have been done to reduce the spin-up of numerical models (Sun et al., 2014), and 61 the best way to improve the model skill at the very beginning of the precipitation forecast 62 is to better represent the model initial condition (Stensrud et al., 2013), and it can be 63 accomplished by performing data assimilation (Sun et al., 2014). Data assimilation (DA) 64 is a technique for generating accurate image of the true state of the atmosphere at a given 65 time in which the observed information is accumulated into the model state by taking 66 advantage of consistency constraints with laws of time evolution and physics properties. 67 A crucial advantage of NWP models with Data Assimilation (DA) compared to 68 nowcasting models (extrapolation of radar echoes) is that it not only adds the current data 69 into the NWP model, but they should also initialize convective-scale events (Sokol,

2010). A logical approach used for nowcasting is to blend radar echo extrapolation with
a numerical model to generate a seamless 0-6h forecast (Sun et al., 2014). However,
extrapolation skill is strongly reduced with time and the blended forecast after 3-4h will
rely on the numerical models.

74

75 Initial condition plays a crucial role in numerical weather prediction (NWP) and 76 for high resolution forecasts the model needs to be initialized not only using observation 77 that describe the large-scale features, but also the convective scale. Understanding how 78 to assimilate observations at the convective scale, resolving the dynamical process 79 relevant for predicting convection and dealing with rapid error growth is a huge challenge. 80 Doppler Radar observations have been used in complex DA systems in order to improve 81 the initial condition of high-resolution models, since they are almost the only source of 82 three-dimensional data in this scale (Aksoy et al., 2009). Reflectivity and radial velocities 83 from doppler radars have been successfully used in complex DA in order to improve the 84 initial condition for convection-permitting models (e.g., Gao et al., 2004; Sun et al., 2005; 85 Xiao et al., 2007; Ming at al., 2009; Wang et al., 2013; Vendrasco et al., 2016; Tong et 86 al., 2016; Kong et al., 2018). More recently, polarimetric variables have also been used 87 in DA systems (eg., Carlin et al., 2017; Li et al., 2017; Kawabata et al., 2018; 88 Wolfensberger and Berne, 2018). Although, many studies have shown improvements on 89 the precipitations forecasts due to radar DA, it still a challenge to extract as much 90 information as possible from observations while maintaining the large-scale balance 91 found in the background. Vendrasco et al. (2016) have shown that constraining the cost 92 function with a large-scale analysis can alleviate this problem. Also, Tong et al. (2016) 93 have studied the best cycle strategy to assimilate radar data and they found that

94 performing 3 1-h cycle before the analysis time gave them the best results compared to95 3-h cycle.

96 Another important aspect that directly impacts the precipitation in high 97 resolution forecasts is the microphysical parameterization. Many approaches are 98 considered to parametrize the in-cloud process and they can be categorized in two 99 schemes: bulk and bin parameterizations. Bin schemes aims to calculate microphysics as 100 accurately and generally as possible. It divides microphysical particles in bins for 101 different sizes and compute the evolution of each bin separately. Thus, the particle size 102 distribution (PSD) is an output, instead of an input like occurs in bulk schemes. Although 103 it is much more general and precise, it is very expensive computationally and it is not 104 feasibly in operational NWP models. Bulk schemes can be classified by the number of 105 moments (predicted variables) that is included in the parametrization. The most common 106 bulk parameterizations are those with single moments (e.g, Ferrier – Ferrier et al. 2002; 107 WSM6 - Hong and Lim 2006; Thompson - Thompson et al. 2008) that predict only the 108 mass of the particles, and the double moment bulk schemes that predict also the number 109 of concentration (e.g., WDM6 – Lim and Hong 2010; Morrinson – Morrinson et al. 2009). It is not common in operational NWP models, but there are also developments in bulk 110 111 schemes with the third moment, which provides the prediction of reflectivity as well (e.g., 112 Milbrandt and Yau, 2005). Many works have shown the impact of microphysical 113 parameterization on high resolution precipitation forecast (Wu et al, 2013), however, just 114 a few have discussed their impact while doing radar DA. Although all the bulk 115 microphysics parameterizations solve similar process, the production of rain and its 116 timing can also be distinct. The question that raises is: does the radar DA produce any 117 impact on this behavior?

118 The goal of this paper is to evaluate the performance of cloud resolving model 119 for nowcasting application of intense thunderstorms and provides some evaluations 120 regarding the impact of different radar DA procedures and microphysics 121 parameterization.

122 This paper is organized as follows: Section 2 presents the SOS-CHUVA project, 123 the radar data used in this work and outlines the experimental setup. Also, it briefly describes the WRF 3DVAR DA system employed in this study and the methods for 124 125 precipitation verification. In Section 3 is presented the evaluation of DA procedure (i.e., 126 the increments and residuals) and the short-range precipitation forecast for 5 convective 127 cases to show how the radar DA and the different microphysics impacts the precipitation forecast in the first 6 hours of leading time. The main results obtained from this study are 128 129 summed up in Section 4.

130

131

- 2. Data and Methodology
- 132
- 133 2.1. The SOS-CHUVA campaign

134

The SOS-CHUVA campaign occurred in south-eastern Brazil between 2016 and 2018. The campaign was a collaborative effort of several Brazilian institutions to better understand severe thunderstorms in the region and improve nowcasting tools and methodologies. SOS-CHUVA is an extension of the CHUVA project (Machado et al., 2014) specially dedicated to nowcasting. During the experiment, several instruments were installed and operated during two years (2016-2018) in Campinas, São Paulo State (Fig. 1), in special an X-band polarimetric radar and two others operational S band radars.

142	
143	FIGURA 1
144	
145	2.2. Selection of the cases
146	
147	Five cases of intense/severe storms were selected among all the cases occurred
148	during the SOS-CHUVA campaign (Fig. 2). The 5 cases were chosen based on their
149	intensity and the availability of data, particularly the radar data. Also, there was an attempt
150	to include cases of convective systems with different morphologies, from organized
151	mesoscale convective systems, such as quali-linear convective systems (QLCS) and
152	storm clusters, to isolated storms. For all cases, severe/intense weather was reported,
153	including hail, strong winds and/or flooding, see Fig. 2. A synthesis of these cases, as
154	well as the radar data used in the data assimilation system, is shown in Table 1. A more
155	detailed discussion of the events and the synoptic-scale environment is shown in Sec. 3.1.
156	
157	FIGURA 2
158	
159	2.3. Radars data
160	
161	The three radars employed in this study were located in: São Roque (23.602°S,
162	47.094°W, 1147 m altitude; SR), Salesópolis (23.600°S, 45.972°W, 916 m altitude; SL)
163	and Campinas (22.813°S, 47.056°W, 680 m altitude; CP), see Fig. 1 and Table 2 for a
164	detailed description of all radars. The volumetric data is available each 5 minutes for the
165	CP radar and 10 and 15 minutes for the SR and SL radars, respectively. In this study, only

volumetric data every 60 minutes were used in the DA cycling process. Data every 30minutes were used for the forecast evaluation.

168

- 169 2.4. WRF and WRFDA
- 170

171 The model used in the study was the Weather Research and Forecasting model 172 (WRF-ARW - Skamarock, 2008), version 3.9.1.1, and its 3DVAR data assimilation 173 system (WRFDA-3DVAR), version 3.9.1 (Baker et al., 2004). It iteratively minimizes 174 the cost function defined by:

$$J = J_b + J_o = \frac{1}{2} \mathbf{v}^T \mathbf{v} + \frac{1}{2} (\mathbf{d} - \mathbf{H}' \mathbf{U} \mathbf{v})^T \mathbf{R}^{-1} (\mathbf{d} - \mathbf{H}' \mathbf{U} \mathbf{v})$$
(1)

where J_b and J_o stand for the background (i.e., the previous model forecast) and 175 176 observation terms, respectively. The term \mathbf{v} is the control variable (CV) defined by $\mathbf{v} =$ $\mathbf{U}^{-1}(\mathbf{x} - \mathbf{x}_b)$, where **U** is the decomposition of the background error covariance **B** via 177 $\mathbf{B} = \mathbf{U}\mathbf{U}^{\mathrm{T}}$; **x** is the full analysis variable; and \mathbf{x}_{b} is the background variable. The 178 innovation vectors that measures the departure of the observation \mathbf{y}_0 from its counterpart 179 computed from the background \mathbf{x}_b is given by $\mathbf{d} = \mathbf{y}_0 - \mathbf{H}(\mathbf{x}_b)$. Here, \mathbf{H}' is the 180 181 linearization of the nonlinear observation operator **H**, and **R** is the observation error 182 covariance matrix.

Following Sun et al. (2016), the CV used in this study are velocity components u and v, temperature T, surface pressure Ps, and pseudo-relative humidity (RHs, where the humidity is divided by its background). For reflectivity data assimilation, the retrieved rainwater mixing ratio was used as CV, following Wang et al. (2013), in order to avoid the nonlinearities issues caused by the linearization of the observation

operator, required by the incremental formulation (Courtier et al., 1994).

- 189
- 190 2.5. Model configuration
- 191

The Global Forecast System (GFS) forecasts, from the National Centers for Environmental Prediction (NCEP) were used as initial and boundary conditions (IC/BC) for the outermost WRF domain (d01). The GFS is a T1534 global model with 64 vertical levels. The model output is interpolated to a 0.25° resolution grid, which is used in this study. Both the IC/BC and the synoptic scale analysis of each case used the 1200 UTC GFS runs.

Four microphysics schemes were employed: Thompson, Morrison, WDM6 (WRF Double-Moment 6-Class) and WSM6 (WRF Single-Moment 6-Class). The four microphysics schemes combined with four DA methodologies, including no DA, resulted in sixteen runs for each case. See Table 3 for the description of the different running configuration. These runs were used to verify which one is the best combination of microphysics scheme and assimilation methodology, and also to evaluate the sensitivity of each factor separately (Sec. 3.2).

The cycling methodology is described in Fig. 3. For all 5 cases four continuously cycled analyses were performed at 1500, 1600, 1700 and 1800 UTC and then a 6-h forecast ensued. For the experiments labelled as nCYnDA and nCYyDA the cycling was not performed, instead the one-time DA at 1800 UTC was run and then a 6h forecast took place.

210

211 FIGURE 3

213 2.6.

Statistical verification

214

Several statistical indices were calculated using the composite reflectivity field generated by the simulations and the composite reflectivity field observed by the radars. The contingency table (Table 3) is used to evaluate the simulation reflectivity field in respect to its observed counterpart. The total numbers of hits, misses, false alarms and correct negatives in the domain are used to calculate the false alarm ratio (FAR; Eq. 1), the probability of detection (POD; Eq. 2) and the critical success index (CSI; Eq. 3).

221

$$FAR = \frac{falsealarms}{falsealarms + hits} \tag{1}$$

$$POD = \frac{hits}{hits + misses}$$
(2)

$$CSI = \frac{hits}{hits + misses + falsealarms}$$
(3)

222

The other indices used to evaluate the simulations are the root-mean square error (RMSE; Eq. 4) and the fractional skill score (FSS; Eq. 5; Roberts and Lean, 2008). The FSS is calculated using the reflectivity thresholds of 30, 40 and 50 dBZ and radii of 1, 2 and 3 km.

227

$$RMSE = \sqrt{\frac{1}{N} \sum_{k=1}^{N} (\bar{F}_{k} - \bar{O}_{k})^{2}}$$
(4)

where F and O stands for the forecast and observed reflectivity field, respectively, the k
subscript represents the k_{th} grid point and N the total number of grid points.

231

$$FSS = 1 - \frac{FBS}{FBS_{w}}$$

$$= 1 - \frac{\frac{1}{N} \sum_{k=1}^{N} (P_{F(k)} - P_{O(k)})}{\frac{1}{N} [\sum_{k=1}^{N} (P_{F(k)}^{2} + P_{O(k)}^{2})]}$$
(5)

232

where the P_{F(k)} and P_{O(k)} are the fractional coverages of reflectivity in the k_{th} grid point
that exceeds a given threshold and N is the total number if grid points in the domain.
3. Results

238 *3.1.Cases description and synoptic environment*

239

Figure 2 shows the composite radar reflectivity of the 5 cases used in this study. 240 241 The time shown in Fig. 2 are approximately when severe weather was reported at surface, 242 as indicated in the figure. The cases vary from mature quasi-linear convective systems (QLCS) (Figs. 2a,d) to isolated thunderstorms storms (Fig. 2b). This is an important 243 244 characteristic of the cases selected because the results of this research are valid for intense events with different types of convective organization. The December 3rd case (Fig. 2a) 245 246 is characterized by a large number of storms over the domain 3, including a QLCS in the 247 northern part of the area that was attributed to several reports of severe winds in Campinas region. Isolated storms formed over the São Paulo metropolitan region in the afternoon 248

of February 22nd (Fig. 2b) and caused hail and flash flooding in the area. On March 6th (Fig. 2c), a large area of precipitation covered most of the study region, with embedded severe storms in the northern sector causing hail and winds. The second QLCS among the studied cases occurred on May 5th (Fig. 2d), and was responsible for multiple severe wind reports and flooding. Finally, the October 27th (Fig. 2e) severe storms formed north of Campinas, presented Doppler velocity couplets indicating rotation during several radar scans (not shown), and were responsible for strong winds and hail.

256 The synoptic-scale 500-hPa configuration (Vorticity and winds at 500 hPa) at 1800 UTC for each case is shown in Fig. 4. At 1800 UTC of December 3rd (Fig. 4a), a 257 cyclonic vorticity maximum was located upstream of the study region and caused lifting 258 due to cyclonic vorticity advection (not shown). This trough was associated with colder 259 260 air at 500 hPa (temperatures below -6° C), which increased the instability with time. The relatively strong 500-hPa flow (15–20 m s⁻¹) contributed to high wind shear and 261 convective organization (Fig. 2a). Weak midlevel flow predominated in the study region 262 during the isolated storms on February 22nd (Fig. 4b). The absence of a source of synoptic-263 264 scale lifting suggests these storms formed due to radiative surface heating and the increasing of thermodynamic instability during the afternoon. The case of March 6th (Fig. 265 266 4c) also occurred under weak midlevel flow, which contributed to the slow storm movement and the occurrence of flooding. Similar to the December 3rd case, on May 5th 267 268 (Fig. 4d) a synoptic-scale trough upstream of the study region caused ascent and midlevel cold advection, and also contributed to intensify the wind shear and organize the QLCS. 269 The storms occurred on October 27th formed downstream of a midlevel vorticity 270 271 maximum embedded in strong zonal flow (Fig. 4e).

3.2. Results of DA: Increments and OMB/OMA profiles

274

This section shows the impact of radar DA on the analysis (i.e., the output from the DA system). Thus, only experiments with DA are considered, i.e. nCYyDA and yCYyDA. The first question addressed is regarding to the DA behavior for each microphysics.

Figure 5 shows the averaged vertical profiles of observation, innovation 279 280 (observation minus background) and residual (observation minus analysis) for radial 281 velocity and rainwater, snow and graupel mixing ratios. The first important result is that 282 the residual is always close to zero on the entire profile. It means that the DA is capable of vanishing almost all the innovation and, thus, bringing the background closer to the 283 284 observation. Another interesting finding is that while almost no difference is observed 285 among microphysics in the radial velocity profile, and just a small difference in the 286 rainwater mixing ratio, the snow and graupel profiles have shown the greatest differences. 287 Figure 5 shows clearly that after 4 cycles, the Thompson microphysics parameterization 288 produces much more snow then the others, on the other hand, Morrison microphysics 289 produces much more graupel than observation, followed by WSM6 e WDM6. Although 290 Thompson also overestimates graupel, it is much closer to observations than the other 291 microphysics. Regarding rainwater, except for WDM6, the profiles are similar, showing 292 small overestimation below 3 km and underestimation above that level. WDM6 293 overestimates the entire profile. It's important to point out that the microphysics 294 observations come from the estimation using the relationships from Gao and Stensrud 295 (2012) that is employed in the WRFDA.

From the DA point of view, the overestimation of snow and graupel by Thompson and

Morrison microphysics parameterizations, respectively, triggers a balance problem. The greatest is the innovation, the greatest should be the analysis imbalance caused by the 3DVAR DA. The results showed in Fig. 5 for the residual is quite good, however, it should be taken into account that the average considers only where radar data are available, which means that where radars are not available the residuals keep large and it will affect the forecast started from that analysis. Also, because of this heterogeneous reduction of the residuals, the balance of the analysis is affected.

304 Figure 6 shows an example for case of December 3rd, 2016, of the innovations, increments and residuals of snow and graupel for each microphysics. It clearly shows 305 306 overestimation of graupel and snow for Morrison and Thompson microphysics, also shows that the residuals is very small, which implies that the DA process was able to 307 308 reduce the innovation. Figure 6 also shows large area without radar data, where DA could 309 not correct the mentioned overestimation. Therefore, although the ability of DA to correct 310 the microphysics concentrations clearly does not depend on the microphysics, the 311 importance of choosing a proper parametrization is still an important step to get an 312 accurate forecast.

- 313
- 314

3.3. Sensitivity to microphysics parameterization

315

316

In this section, the four microphysics schemes are evaluated for the five cases.

Figure 7 shows the RMSE and FSS (for the 30-dBZ threshold) of the average among all the five cases and all the four DA methods for each microphysics parameterization employed. The RMSE (Fig. 7a) in general decreases from 30 to 90 minutes of forecast, and then increases again. Simulations using the Thompson

321 microphysics present the lowest RMSE in all forecast times, followed by the simulations 322 using the Morrison scheme. The FSS (Fig. 7b), on the other hand, is lower in Thompson 323 simulations, but the difference is small between all the microphysics schemes. In terms 324 of the reflectivity pattern, simulations with Thompson have a better depiction of the 325 convective and stratiform areas, while the other microphysics tend to overestimate the 326 reflectivity values, as it will be shown latter. For this reason, in this study we will use the Thompson microphysics as a reference in the analysis of the different DA methods. 327 328 329 3.4. Sensitivity to radar DA method 330 This section presents the statistical verifications for the different DA methods. 331 332 Firstly, two cases with relatively good and regular model performance are described. The 333 model errors in representing convective and stratiform areas and how can the radar DA 334 improve this can be accessed through the analysis of the reflectivity fields. 335 Figure 8 shows the observed and simulated composite reflectivity for the March 3rd case. The yCYyDA 1-h forecast (Fig. 8d) was able to reproduce the most intense storm 336 337 in the area over the northwestern part of the domain (Fig. 8a), even though the location is 338 shifted to North, compared to observation. In the southern part of the domain (around the 339 24°S latitude), the west-east band of precipitation observed at 2100 UTC (Fig. 8c) is 340 formed in the simulation 2 h earlier (Fig. 8d), and by 2100 UTC (Fig. 8f) it has dissipated 341 in the model. By comparing the vCYvAD simulation (Fig. 8d,e,f) with the nCYvAD

simulation (Fig. 8g,h,i), the DA cycle causes a better representation of the severe
thunderstorms in the northwestern part of the domain with 1 hour of forecast. The
precipitation band that remains after the severe storms dissipate at 2000 and 2100 UTC

345 (2- and 3-h forecasts, respectively) is broader in the yCYyDA simulation (Fig. 8d,e,f),
346 which better agrees with the observation. Other noteworthy characteristic is the larger
347 area with precipitation over the domain in the yCYyDA simulation when compared to all
348 the other simulations (Fig. 8g–o), which is observed in all simulated cases (not shown).

349 The simulations without radar DA (vCYnDA and nCYnDA) show a lower 350 precipitation coverage over the domain (Figs. 8j-o) when compared to the simulations 351 with radar DA (Figs. 8d-i). The vCYnAD (Figs. 8j-l) simulation presents a better 352 representation of the severe storms north of 19°S relative to the nCYnAD (Figs. 8m-o) 353 simulation in all forecast hours, and is similar to the vCYvAD (Figs. 8d–f) simulation in 354 this aspect. The model run with a previous precipitation in the model, which is generated 355 by the cycle, does a better job in simulating the severe storms in the area. The simulation 356 without DA and without cycle presents a much lower precipitation coverage than 357 observed, mainly in the first hour of forecast (Fig. 8m). It is explained by the spin-up 358 problem that take time to balance the model and initiate convection.

359 Figure 9 shows the radar reflectivity factor and 2-h simulated reflectivity 360 forecasts valid for 2000 UTC 22 February 2017, when an isolated storm produced small 361 hail and flooding in the city of São Paulo. Similar to what occurred to the other cases 362 (Fig. 8a-f), the yCYyDA simulation (Fig. 9b) forecasts precipitation over a larger area 363 compared to observation (Fig. 9a). The overestimated precipitation area is possibly 364 related to the character of precipitation: as the radar indicates several storms over the 365 region and the thermodynamic environment is considerably unstable (CAPE $> 2000 \text{ J kg}^{-1}$ ¹, not shown), the cycle and DA causes convective overturn throughout the domain. It is 366 367 also possible that non-meteorological radar echoes (e.g., areas with reflectivity factor 368 lower than 20 dBZ between 23°S and 24°S, 47°W and 48°W) are being interpreted by the model as areas of active convection. Despite the widespread precipitation area in
yCYyDA simulation, the area of severe convection over the São Paulo metropolitan area
is relatively well indicated by the model.

The yCYnDA simulation (Fig. 9d) produces the best results in this case because it is able to forecast the severe thunderstorm very close to the observed storm (Fig. 9a) and does not produce precipitation over a wide area as the yCYyDA (Fig. 9b), which suggests the DA is the reason for the overestimated precipitation in yCYyDA simulation. Both simulations without cycle, nCYyDA (Fig. 9e) and nCYnDA (Fig. 9f), fail to forecast the severe storm with 2 hours of simulation and in future times (not shown). These results suggest that the cycle is important in place the storms correctly.

Given the inability of the yCYyAD simulation for the February 22nd case in forecasting the discrete convective mode that was observed, a test was performed in which the radius of influence of the observations (radar reflectivity field) is decreased. Fig. 9c shows the results of this simulation. There is no evident improvement in the forecasted reflectivity field for this case. The characteristic of the convection remains more widespread than observed (Fig. 9a).

The temporal evolution of the average statistical indices, for all five cases, is 385 shown in Fig. 10. These indices are averages of the simulations of all cases and all 386 387 microphysics schemes for each DA method. The RMSE (Fig. 10a) is lower in the 388 yCYyAD simulations for the entire period, except in the 1-h forecast, when it is lower than the nCYyAD RMSE. Both simulations with radar DA have lower RMSE than 389 390 simulations without radar DA. Also, the RMSE increases with time in the simulations 391 with radar DA, but is less variable in simulations without radar DA. The CSI (Fig. 10b) 392 shows similar results, with higher CSI in simulations that use radar DA. For most DA

methods, the CSI increases with time, which is unexpected since the forecast skill tends
to decrease with time. However, most of the cases had greater thunderstorms coverage in
the area in the first hours of forecast (most severe weather reports occurred between 18
and 19 UTC), so the CSI tends to increase when the stratiform precipitation dominates
the convective precipitation or the precipitation leaves the domain.

Both POD (Fig. 10c) and FAR (Fig. 10d) agree with the general characteristic of yCYyDA to overestimate the precipitation area. The POD is much higher in the yCYyDA simulations, and the lowest POD occurs in simulations without cycle and without DA (nCYnDA). The FAR, on the other hand, is very similar in all DA methods. The combination of these two indices is better (higher POD and lower FAR) in the yCYyDA, which evidence the ability of the radar DA to better localize the storms in the simulation according to what the radar is observing in the analysis time.

- 405
- 406
- 407
- 408

409

4.

Conclusions

- -
- 410

411 Acknowledgements. The authors thank the Foundation for Research Support of
412 Sao Paulo (Fapesp Grant 2015/14497-0).

- 413
- 414

415

References

419	Altuğ Aksoy*, David C. Dowell, and Chris Snyder, 2009: A Multicase				
420	Comparative Assessment of the Ensemble Kalman Filter for Assimilation of Radar				
421	Observations. Part I: Storm-Scale Analyses. Monthly weather review, 137, 1805-1824.				
422	https://doi.org/10.1175/2008MWR2691.1				
423	Barker, D. M., W. Huang, YR. Guo, A. J. Bourgeois, and Q. N. Xiao, 2004: A				
424	three-dimensional variational (3DVAR) data assimilation system for use with MM5:				
425	Implementation and initial results. Mon. Wea. Rev., 132, 897–914, doi:10.1175/1520-				
426	0493(2004)132,0897:ATVDAS.2.0.CO;2.				
427	J.T. Carlin, J. Gao, J.C. Snyder, A.V. Ryzhkov. Assimilation of ZDR columns				
428	for improving the spinup and forecast of convective storms in storm-scale models:				
429	Proof-of-concept experiments. Mon. Weather Rev., 145 (2017), pp. 5033-5057,				
430	10.1175/MWR-D-17-0103.1				
431	Courtier, P., J. N. Thepaut, and A. Hollingsworth, 1994: A strategy for				
432	operational implementation of 4D-Var, using an in- cremental approach. Quart. J. Roy.				
433	Meteor. Soc., 120, 1367–1387, doi:10.1002/qj.49712051912				
434	Ferrier, B. S., Y. Jin, T. Black, E. Rogers, and G. DiMego, 2002:				
435	Implementation of a new grid-scale cloud and precipitation scheme in NCEP Eta model.				
436	Preprints, 15th Conf. on Nu- merical Weather Prediction, San Antonio, TX, Amer.				
437	Meteor. Soc., 280–283.				
438	Gao, J., Xue, M., Brewster, k., Droegemeier, k. k A Three-Dimensional				
439	Variational Data Analysis Method with Recursive Filter for Doppler Radars. J. of				

Atmos. and Ocean. Tech., 21, 457-469.

441	Gao, J., and D. J. Stensrud, 2012: Assimilation of reflectivity data in a					
442	convective-scale, cycled 3DVAR framework with hydrometeor classification. J. Atmos.					
443	Sci., 69, 1054–1065, doi:https://doi.org/10.1175/JAS-D-11-0162.1					
444	Hong, SY., and JO. J. Lim, 2006: The WRF single-moment 6-class					
445	microphysics scheme (WSM6). J. Korean Meteor. Soc., 42, 129–151.					
446	Illari, L., 1985: The "spin-up" problem. ECMWF Tech. Memo., 137.					
447	Charles Lin, Slavko Vasić, Alamelu Kilambi, Barry Turner, Isztar Zawadzki,					
448	2005. Precipitation forecast skill of numerical weather prediction models and radar					
449	nowcasts. Geophis. Res. Letters, 32 , L14801. <u>https://doi.org/10.1029/2005GL023451</u> .					
450	Takuya Kawabata, Thomas Schwitalla, Ahoro Adachi, Hans-Stefan Bauer,					
451	Volker Wulfmeyer, Nobuhiro Nagumo, and Hiroshi Yamauchi. Observational operators					
452	for dual polarimetric radars in variational data assimilation systems (PolRad VAR v1.0).					
453	Geosci. Model Dev., 11, 2493–2501, 2018 https://doi.org/10.5194/gmd-11-2493-2018.					
454	Kong R, Xue M, Liu C. 2018. Development of a hybrid En3DVar data					
455	assimilation system and comparisons with 3D-Var and EnKF for radar data assimilation					
456	with observing system simulation experiments. Monthly Weather Review 146: 175–198.					
457	Li X, Mecikalski JR, Posselt D. 2017. An ice-phase microphysics forward model					
458	and preliminary results of polarimetric radar data assimilation. Monthly Weather Review:					
459	683–708, <u>https://doi.org/10.1175/mwr-d-16-0035.1</u> .					
460	Lim, KS. S., and SY. Hong, 2010: Development of an effective double-					
461	moment cloud microphysics scheme with prognostic cloud condensation nuclei (CCN)					
462	for weather and climate models. Mon. Wea. Rev., 138, 1587-					

463 1612.doi:10.1175/2009MWR2968.1.

464	Machado, L.A.T.; Silva Dias, M.A.F.; Morales, C.; Fisch, G.; Vila, D.; Albrecht,
465	R.; Goodman, S.J.; Calheiros, A.J.P.; Biscaro, T.; Kummerow, C.; et al. The CHUVA
466	Project. How Does Convection Vary across Brazil? Bull. Am. Meteorol. Soc. 2014, 95,
467	1365–1380
468	Ming, C., S. Y. Fan, J. Zhong, X. Y. Huang, Y. R. Guo, W. Wang, Y. Wang, and
469	B. A. Kuo, 2009: A WRF-based rapid updating cycling forecast system of BMB and its
470	performance during the summer and Olympic Games 2008. Symp. on Nowcasting and
471	Very Short Term Forecasting, Whistler, BC, Canada, WMO. [Available online at
472	http://www2.mmm.ucar.edu/wrf/
473	users/workshops/WS2010/presentations/session%203/3A-5_MinChen.pdf.]
474	Milbrandt, J. A., and M. K. Yau, 2005: A multimoment bulk microphysics
475	parameterization. Part II: A proposed three-moment closure and scheme description. J.
476	Atmos. Sci., 62, 3065–3081.
477	Morrison, H., G. Thompson, V. Tatarskii, 2009: Impact of Cloud Microphysics
478	on the Development of Trailing Stratiform Precipitation in a Simulated Squall Line:
479	Comparison of One- and Two-Moment Schemes. Mon. Wea. Rev., 137, 991-1007.
480	doi:10.1175/2008MWR2556.1
481	Roberts, N. M., and H. W. Lean, 2008: Scale-selective verification of rainfall
482	accumulations from high-resolution forecasts of convective events. Mon. Wea. Rev., 136,
483	78–97, doi:10.1175/2007MWR2123.1.
484	SOKOL, Z. Assimilation of extrapolated radar reflectivity into a NWP model
485	and its impact on a precipitation forecast at high resolution. Atmospheric Research, v.
486	100, n. 2, p. 201-212, 2011.

487 SKAMAROCK. W.C.: KLEMP. J.B.: DUDHIA. J.: GILL. D.O.: BARKER. 488 D.M.; DUDA, M.G.; HUANG, X.; WANG, W.; POWERS, J.G. A description of the 489 advanced research WRF version 3. National Center for Atmospheric Research, 2008. 125 490 p. NCAR TECHNICAL NOTE. 491 Stensrud D.J., Louis J. Wicker, Ming Xue, Daniel T. Dawson, Nusrat Yussouf, 492 Dustan M. Wheatley, Therese E. Thompson, Nathan A. Snook, Travis M. Smith, Alexander D. Schenkman, Corey K. Potvin, Edward R. Mansell, Ting Lei, Kristin M. 493 494 Kuhlman, Youngsun Jung, Thomas A. Jones, Jidong Gao, Michael C. Coniglio, Harold 495 E. Brooks, Keith A. Brewster, 2013: Progress and challenges with Warn-on-Forecast, 496 Atmospheric Research, Volume 123, Pages 2-16, Sun, J.-H., X.-L. Zhang, J. Wei, and S.-X. Zhao, 2005: A study on severe heavy 497 498 rainfall in north China during the 1990s (in Chinese). Climatic Environ. Res, 10, 492-499 506. 500 Sun J, Xue M, Wilson JW, Zawadzki I, Ballard SP, Onvlee-Hooimeyer J, Joe P, 501 Barker DM, Li PW, Golding B, Xu M, Pinto J. 2014. Use of NWP for nowcasting 502 convective precipitation: Recent progress and challenges. Bull. Am. Meteorol. Soc. 95: 503 409-426, doi:10.1175/BAMS-D-11-00263.1. 504 Sun, J, H. Wang, W. Tong, Y. Zhang, C.-Y. Lin, and D. Xu, 2016: Comparison 505 of the impacts of momentum control variables on high-resolution variational data 506 assimilation and precipitation forecasting. Mon. Wea. Rev., 144, 149-169, doi:10.1175/MWR-D-14-00205.1. 507

508 Thompson, Gregory, Paul R. Field, Roy M. Rasmussen, William D. Hall, 2008:
509 Explicit Forecasts of Winter Precipitation Using an Improved Bulk Microphysics

- 510 Scheme. Part II: Implementation of a New Snow Parameterization. Mon. Wea. Rev., 136,
 5095–5115. doi:10.1175/2008MWR2387.1
- 512 Tong, W.; Li, G.; Sun, J.; Tang, X.; Zhang, Y. Design Strategies of an Hourly
 513 Update 3DVAR Data Assimilation System for Improved Convective Forecasting.
 514 Weather Forecast. 2016, 31, 1673–1695
- Vendrasco, E. P., J. Sun, D. L. Herdies, and C. F. de Angelis, 2016: Constraining
 a 3DVAR Radar Data Assimilation System with Large-Scale Analysis to Improve ShortRange Precipitation Forecasts. *J. Appl. Meteor. Climatol.*, 55, 673–690,
 https://doi.org/10.1175/JAMC-D-15-0010.1.
- Wang, H., J. Sun, S. Y. Fan, and X. Y. Huang, 2013: Indirect assimilation of
 radar reflectivity with WRF 3D-VAR and its impact on prediction of four summertime
 convective events. J. Appl. Meteor. Climatol., 52, 889–902, doi:10.1175/ JAMC-D-120120.1.
- Wu, D., X. Dong, B. Xi, Z. Feng, A. Kennedy, G. Mullendore, M. Gilmore, and
 W.-K. Tao (2013), Impacts of microphysical scheme on convective and stratiform
 characteristics in two high precipitation squall line events, J. Geophys. Res. Atmos., 118,
 11,119–11,135, doi:10.1002/jgrd.50798.
- Wolfensberger Daniel and Alexis Berne. From model to radar variables: a new
 forward polarimetric radar operator for COSMO. Atmos. Meas. Tech., 11, 3883–3916,
 2018 https://doi.org/10.5194/amt-11-3883-2018.
- Xiao, Q., Y. Kuo, J. Sun, W. Lee, D. M. Barker, and L. Eunha, 2007: An
 approach of radar reflectivity data assimilation and its assessment with the inland QPF of
 Typhoon Rusa (2002) at landfall. J. Appl. Meteor. Climatol., 46, 14–22, doi:10.1175/

- 533 JAM2439.1.
- 534 Zipser, E. Rainfall predictability: When will extrapolation-based algorithms fail? In
- 535 Eighth Conference on Hydrometeorology, pages 138–142. American Meteorological
- 536 Society, 1990.
- 537
- 538
- 539

540 List of tables

Table 1: Characteristics and data availability for each studied case.

Dates	Approximate time of severe weather reports	Convective mode	Available radar data
03 December 2016	1900 UTC	QLCS	SR, SL and CP
22 February 2017	1930 UTC	Isolated storm	SR, SL and CP
06 March 2017	1900 UTC	Storm cluster	SR and SL
05 May 2017	2100 UTC	QLCS	SR, SL and CP
27 October 2017	1900 UTC	Storm cluster	SR and CP

Table 2: Radars characteristics.

São Roque (SR)	Salesópolis (SL)	Campinas (CP)
10.9 cm (S-band)	10.638 cm (S-band)	3.202 cm (X-band)
2.0°	0.968°	1.3°
No	Yes	Yes
Yes	Yes	Yes
15	8	17
500 m	250 m	200 m
1°	1°	1°
	10.9 cm (S-band) 2.0° No Yes 15 500 m	10.9 cm (S-band) 10.638 cm (S-band) 2.0° 0.968° No Yes Yes Yes 15 8 500 m 250 m

Experiment	Microphysics	With cycle	With DA
nCYnDA	Morrison/Thompson/WSM6/WDM6	No	No
nCYyDA	Morrison/Thompson/WSM6/WDM6	No	Yes
yCYnDA	Morrison/Thompson/WSM6/WDM6	Yes	No
yCYyDA	Morrison/Thompson/WSM6/WDM6	Yes	Yes

Table 4: Contingency table.

		Observed	
		Yes	No
	Yes	Hits	False alarms
Forecast	No	Misses	Correct negatives

List of figure captions:

554 FIG. 1: Map showing the location of the radars used in this study and the WRF domains. (a) Topography (m) from the d01 domain is shaded, and the WRF domains (d01, 555 556 d02 and d03) are shown along with the radars location (colored dots) and coverage 557 (colored circles). (b) Topography (m) from the d03 WRF domain is shaded, SR is the 558 non-polarimetric S-band radar located in São Roque (red dot and circle with 250 km radius), SL is the polarimetric S-band radar of Salesópolis (orange dot and circle with 250 559 560 km radius), and CP represents the X-band polarimetric radar in Campinas (yellow dot and 561 circle with 100 km radius).

FIG. 2: Composite reflectivity (dBZ) at (a) 1900 UTC 03 December 2016, (b) 1930 UTC 22 February 2017, (c) 1900 UTC 06 March 2017, (d) 2100 UTC 05 May 2017, and (e) 1900 UTC 27 October 2017. The reflectivity fields are generated by interpolating the reflectivity from the closest radar to the WRF d03 domain (Fig. 1). The arrows indicate the systems that caused severe weather, which occurred approximately in the times shown in the figures.

568

FIG. 3: Schematic diagram of the cycling strategy.

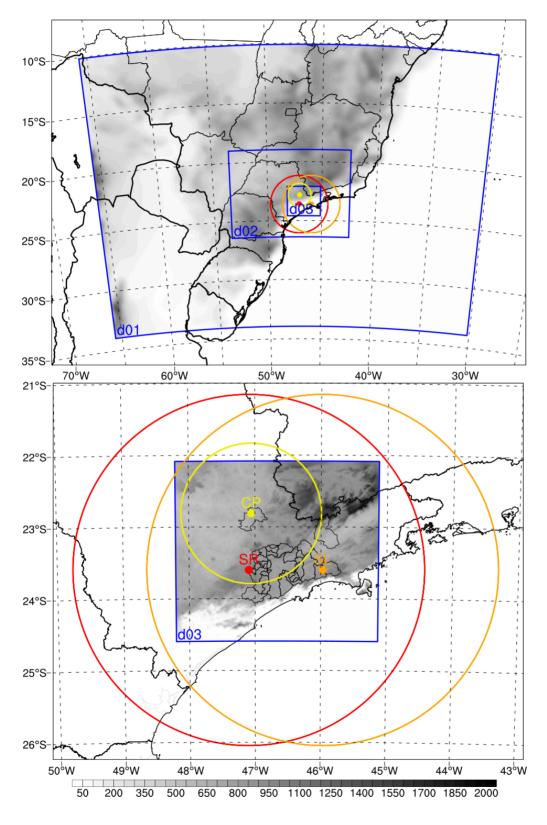
FIG. 4: GFS analysis of 500-hPa relative vertical vorticity $(10^{-5} \text{ s}^{-1}, \text{ shaded})$, geopotential height (dam, black contours every 3 dam), temperature (°C, grey dashed contours every 2°C), and winds (m s⁻¹, pennant is 25 m s⁻¹, full barb is 5 m s⁻¹, and half barb is 2.5 m s⁻¹) at 1800 UTC of (a) 03 December 2016, (b) 22 February 2017, (c) 06 March 2017, (d) 05 May 2017, (e) 27 October 2017. The d03 domain is shown in orange. FIG. 5: Averaged vertical profiles of observation (OBS), innovation (OMB observation minus background) and residual (OMA - observation minus analysis). The

average is performed over the entire grid where radar data are available and for all 5 cases.

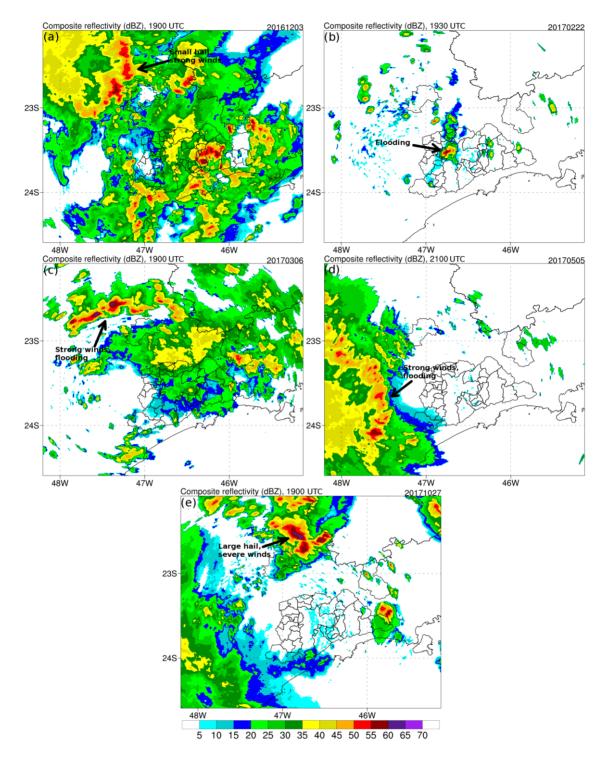
- 577 FIG. 6: Increments (left), innovations (middle) and residuals (right) of snow (a) 578 and graupel (b) at 10 km for the case of December 3rd, 2016. From the top are show the 579 results for Morrinson, Thompson, WSM6 and WDM6.
- FIG. 7: Temporal evolution of (a) RMSE and (b) FSS (using the 30-dBZ composite reflectivity as threshold) from 30 minutes forecasts (1830 UTC) to 3 hours forecasts (2100 UTC). Both RMSE and FSS are averages of all the five cases and the four assimilation methods.
- FIG. 8: (a) Observed composite reflectivity at 2000 UTC 22 February 2017.
 Simulated composite reflectivity in domain d03 (1 km horizontal resolution) at 2000 UTC
 (2-h forecasts) 22 February 2017 of WRF runs (b) yCYyDA, Thompson, (c) yCYyDA,
 Thompson with reduced radius of influence of radar data (more details in the text), (d)
 yCYnDA, Thompson, (e) nCYyDA, Thompson, (f) nCYnDA, Thompson.
- 589 FIG. 9: (a,b,c) Observed composite reflectivity at 1900, 2000 and 2100 UTC 3 590 March 2017. Simulated composite reflectivity in domain d03 (1 km horizontal resolution) 591 at 1900, 2000 and 2100 (1-, 2- and 3-h forecasts, respectively) 3 March 2017 of WRF 592 runs (d,e,f) yCYyDA, (g,h,i) nCYyDA, (j,k,l) yCYnDA and (m,n,o) nCYnDA and 593 Thompson microphysics scheme.
- FIG. 10: (a) Average RMSE, (b) CSI, (c) POD and (d) FAR of all the 5 cases and simulations using all the four microphysics schemes for each DA method according to the line colors. Only values from 1830 UTC (30-minutes forecasts) to 2100 UTC (3-h forecasts) are shown.
- 598

599 List of figures:

600 FIGURE 1:



602 FIGURE 2:



604 FIGURE 3:

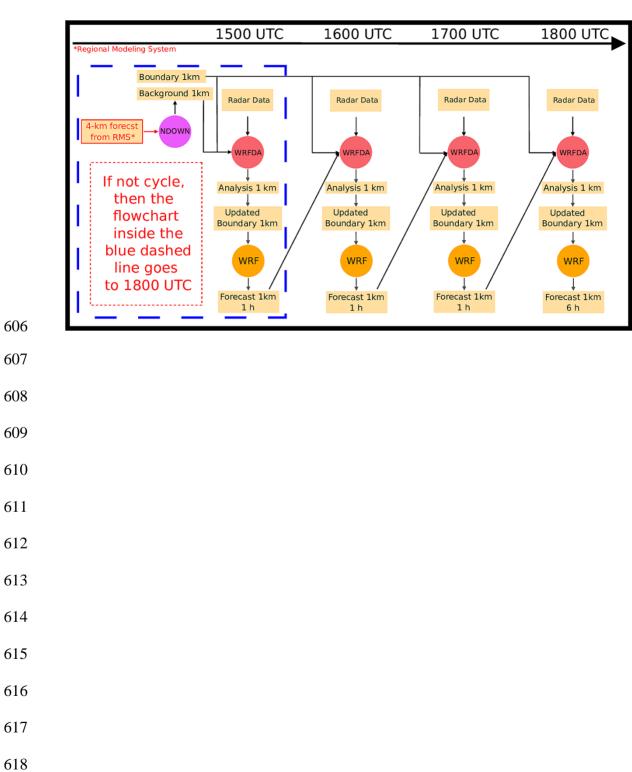
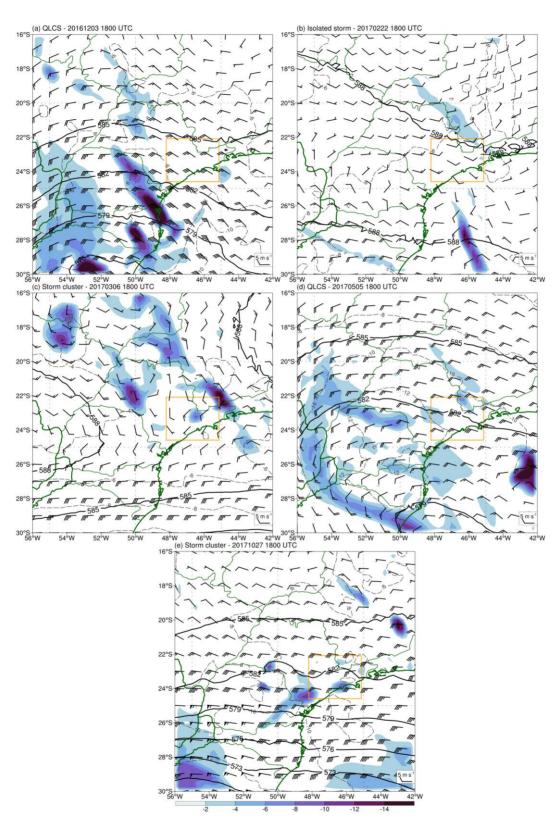
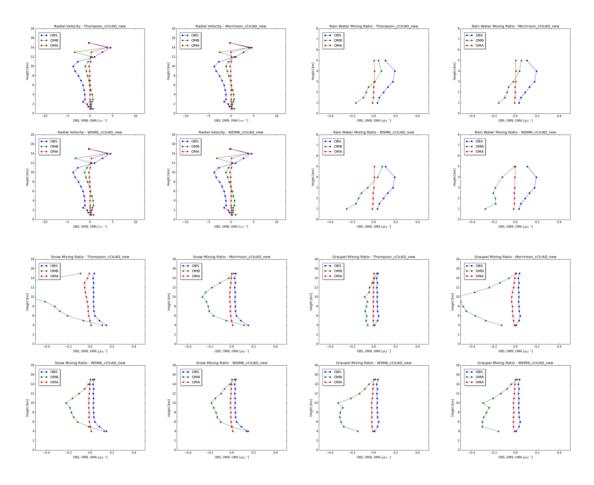


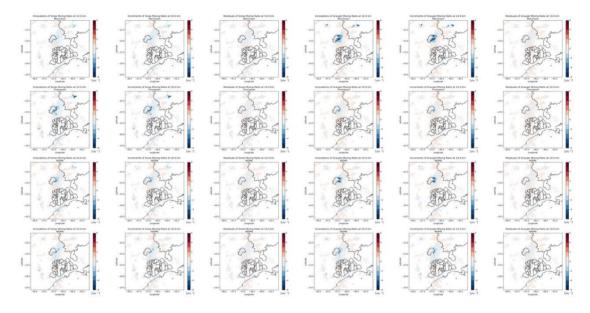
FIGURE 4:



622 FIGURE 5:

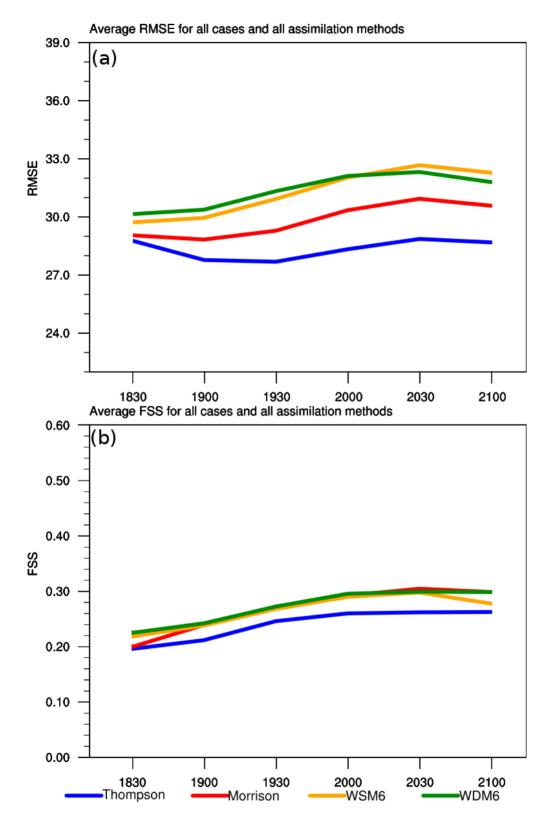


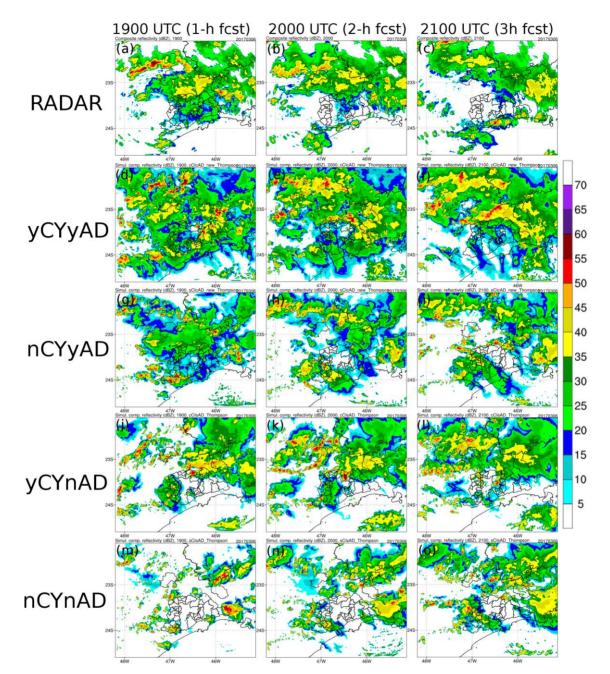
624 FIGURE 6:



(a)

(b)





630 FIGURE 9:

